



3624

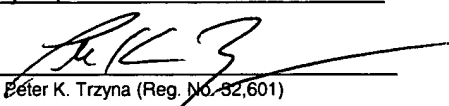
I hereby certify that this correspondence is being filed by facsimile with a confirmation copy being deposited with the United States Postal Service as first class mail in an envelope with sufficient postage and addressed to MS: Status Request, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

PATENT

Paper No.

File: Newmrkt-P1-98

Date: July 21, 2003

Signed:   
Peter K. Trzyna (Reg. No. 32,601)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor : Anthony F. Herbst and Wayne F. Perg  
Serial No. : 09/197,908  
Filed : November 23, 1998  
For : DIGITAL COMPUTER SYSTEM AND METHODS FOR  
MANAGING AN AUCTION MARKET FOR PREFERRED-  
RETURN SECURITIES  
Group Art Unit : 3624  
Examiner : Akers, Geoffrey R.

Honorable Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

**RECEIVED**

JUL 28 2003

**GROUP 3600**

**TRANSMITTAL LETTER**

S I R :

Please record the following enclosed documents in the above-identified patent application.

1. Status Request.

**APPLICANT CLAIMS SMALL ENTITY STATUS.** The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'P. Trzyna', written over a horizontal line.

Peter K. Trzyna  
(Reg. No. 32,601)

Date: July 21, 2003

P.O. Box 7131  
Chicago, IL 60680-7131  
(312) 240-0824



I hereby certify that this correspondence is being filed by  
with a confirmation copy being deposited with the  
United States Postal Service as first class mail in an envelope  
with sufficient postage and addressed to MS: Status Request,  
Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450  
on the date indicated below.

Date: July 21, 2003

Signed:   
Peter K. Trzyna (Reg. No. 32,601)

PATENT

Paper No.

File: Newmrkt-P1-98

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor	:	Anthony F. Herbst and Wayne F. Perg
Serial No.	:	09/197,908
Filed	:	November 23, 1998
For	:	DIGITAL COMPUTER SYSTEM AND METHODS FOR MANAGING AN AUCTION MARKET FOR PREFERRED- RETURN SECURITIES
Group Art Unit	:	3624
Examiner	:	Akers, Geoffrey R.

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

**RECEIVED**

JUL 28 2003

**GROUP 3600**


**STATUS REQUEST**

S I R :

The undersigned attorney, and attorney of record for the above-captioned  
application, respectfully requests that he be advised of the present status of the above-captioned  
application.

The Commissioner is reminded that all correspondence in the above-referenced  
matter should be sent to the address given below.

Respectfully submitted,

  
Peter K. Trzyna  
(Reg. No. 32,601)

Date: July 21, 2003

P. O. Box 7131  
Chicago, Illinois 60680-7131  
(312) 240-0824